

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

Attorney Docket No.

0508-1141

U.S. Application No.

10/551343

INTERNATIONAL APPLN. NO.  
PCT/FR2004/000691

INTERNATIONAL FILING DATE  
March 22, 2004

PRIORITY DATE CLAIMED  
March 28, 2003

TITLE OF INVENTION: NOVEL CYCLODEXTRIN DERIVATIVES, METHOD FOR THE PREPARATION THEREOF AND USE THEREOF FOR THE SOLUBILIZATION OF PHARMACOLOGICALLY ACTIVE SUBSTANCES

APPLICANT(S) FOR DO/EO/US: Jacques DEFAYE, Carmen ORTIZ-MELLET, Jose Manuel GARCIA-FERNANDEZ, Maria GOMEZ-GARCIA, Kazimierz CHMURSKI and Jian-Xin YU

Applicant herewith submits to the United States Designated Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)).  
The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau. See attached PCT/IB/308.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made, however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4))
10. ☐ A Declaration of Inventorship for purposes of U.S.A. designation pursuant to rule 4.17(iv). )
11. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

12. ☒ Information Disclosure Statement (IDS) w/PTO-1449 - ☒ Copy of IDS citations.
13. ☐ Assignment Papers (cover sheet & document(s)).
14. ☒ A preliminary amendment.
15. ☒ An Application Data Sheet under 37 C.F.R. 1.76.
16. ☒ Itemized Return Receipt Postcard
17. ☐ A substitute specification.
18. ☐ Power of Attorney and Statement under 37 CFR §3.73(b)
  - a. ☐ Newly executed Power of Attorney
  - b. ☐ A change of Power of Attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application (35 U.S.C. 154(d)(4)).
22. ☒ Other items or information: Abstract, International Search Report

U.S. APPLICATION NO. <b>107551343</b>		INTERNATIONAL APPLN. NO. PCT/FR2004/000691		ATTORNEY DOCKET NO. 0508-1141	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. <input checked="" type="checkbox"/> The following fees are submitted:				<b>CALCULATIONS PTO USE ONLY</b>	
<b>PCT FEES - NATIONAL STAGE</b>					
<b>Fee Description</b>					
Basic National Stage Fee				\$300.00	
Non ISA/US Search Provided      National Stage Search Fee				\$400.00	
<input type="checkbox"/> US was the IPEA And all claims satisfied the provisions of PCT Article 33 (1)-(4)      National Stage Examination Fee				\$200.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20- <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (Fee Code 1617/2617)				\$130.00	
National Stage Application size fee each additional 50 sheets in excess of 100  Fee Code 1681/2681	Additional Sheets <b>82 - 100 =</b> =      X <div style="border-top: 1px solid black; width: 50px; margin: 0 auto;">50</div>	Fee From Below <b>\$125.00</b>	\$0.00		
<b>CLAIMS</b>		NUMBER FILED 2 - 3 =	NUMBER EXTRA	RATE x \$100.00	
Independent Claims    Fee Codes 1614 / 2614				\$0.00	
Total Claims      Fee Codes 1615 / 2615		31 - 20 =	11	x \$50.00	\$550.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable) Fee Code 1616 / 2616				+ \$360.00	\$0.00
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). Fee Code 1618				\$0.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). (Fee code 8021) \$40.00 per property      +				\$0.00	
<b>TOTAL FEES ENCLOSED</b> =				<b>\$1,580.00</b>	
				Amount to be refunded:	\$
				Charged:	\$
<div style="display: flex; justify-content: space-between;"> <div style="width: 60%;"> <input checked="" type="checkbox"/> A check in the amount of <b>\$1,580.00</b> to cover the above fees is attached.   <input type="checkbox"/> The Director is hereby authorized to charge indicated fees and credit any overpayment to Deposit Account No. 25-0120 in the name of Young &amp; Thompson. A duplicate copy of this sheet is enclosed.   <input checked="" type="checkbox"/> The Director is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 25-0120 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17.         </div> <div style="width: 35%; text-align: center;"> <div style="font-family: cursive; font-size: 1.2em; margin-bottom: 5px;">Benoit Castel</div> <div style="border-top: 1px solid black; width: 100%; margin: 0;"></div> <div style="font-size: 0.8em; margin: 0;">SIGNATURE</div> </div> </div> <div style="margin-top: 20px; display: flex; justify-content: space-between;"> <div style="width: 60%;">           SEND ALL CORRESPONDENCE TO:            YOUNG &amp; THOMPSON            745 South 23<sup>rd</sup> Street            Arlington, VA 22202            Telephone: (703) 521-2297            Facsimile: (703) 685-0573             Y&amp;T Customer No. <b>00466</b>            BC/yr         </div> <div style="width: 35%; text-align: center;"> <div style="border-top: 1px solid black; width: 100%; margin: 0;"></div> <div style="font-size: 0.8em; margin: 0;">Benoit Castel, Reg. No. 35,041</div> <div style="border-top: 1px solid black; width: 100%; margin: 0;"></div> <div style="font-size: 0.8em; margin: 0;">NAME, REGISTRATION NUMBER</div> </div> </div> <div style="margin-top: 10px; display: flex; justify-content: space-between;"> <div style="width: 60%;"></div> <div style="width: 35%; text-align: center;"> <div style="border-top: 1px solid black; width: 100%; margin: 0;"></div> <div style="font-size: 0.8em; margin: 0;">September 28, 2005</div> <div style="border-top: 1px solid black; width: 100%; margin: 0;"></div> <div style="font-size: 0.8em; margin: 0;">DATE</div> </div> </div>					